

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,833	04/06/2001	Martin High	P05127US0

CONFIRMATION NO. 6356

22885
ZARLEY MCKEE THOMTE VOORHEES & SEASE PLC
SUITE 3200
801 GRAND AVENUE
DES MOINES, IA 50309-2721

FORMALITIES LETTER



OC000000006138697

Date Mailed: 06/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

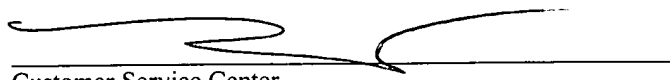
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

00/01/2001 090000041 09027033

01 FC:205 65.00 00

A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke, positioned above a solid horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

SECTOR
D



Attorney Docket: P05127US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: HIGH, et al.
SERIAL NO: 09/827,833
FILED: April 6, 2001
TITLE: VARIABLE OPTICAL FILTER

GROUP ART NO:

TRANSMITTAL LETTER

Assistant Commissioner for Patents
ATTN: Box Missing Parts
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of an Application with filing date granted,
enclosed please find the following documents:

- (1) Declaration Not Accompanying Application and Power of Attorney, dated July 24, 2001 by co-inventor Gary Ball;
- (2) Declaration Not Accompanying Application and Power of Attorney for deceased co-inventor Martin High, signed by legal representative Wilson High dated June 20, 2001, legal representative Eleanor Samson dated June 18, 2001, and legal representative Gale Ladd dated June 28, 2001;
- (3) Proof of authority of the legal representatives listed in paragraph (2) to sign on behalf of co-inventor Martin High;
- (4) \$65.00 surcharge fee;
- (5) A copy of the Notice to File Missing Parts of Application.

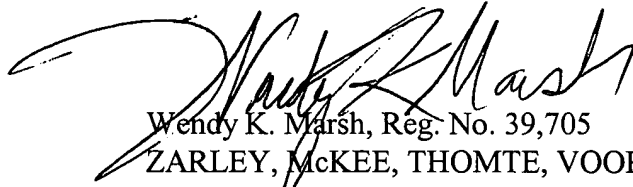
If any additional fees are needed, please charge Deposit Account No. 26-0084.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this paper and the documents referred to as enclosed are being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Trademarks, BOX MISSING PARTS, Washington, D.C. 20231, on this 27th day of July, 2001.


Wendy K. Marsh

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Wendy K. Marsh". The signature is fluid and cursive, with a large initial "W" and "M".

Wendy K. Marsh, Reg. No. 39,705

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Attorneys of Record

- wm -